

MIDCAP FINANCIAL INVESTMENT CORP

FORM 8-K (Current report filing)

Filed 06/13/25 for the Period Ending 06/11/25

Address	9 W. 57TH STREET NEW YORK, NY, 10019
Telephone	(212) 822-0509
CIK	0001278752
Symbol	MFIC
SIC Code	6779 - Unknown
Industry	Investment Management & Fund Operators
Sector	Financials
Fiscal Year	12/31

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549**

FORM 8-K

**CURRENT REPORT
Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported): June 11, 2025

MidCap Financial Investment Corporation

(Exact name of Registrant as specified in its charter)

Maryland
(State or other jurisdiction
of incorporation)

814-00646
(Commission
File Number)

52-2439556
(I.R.S. Employer
Identification No.)

**9 West 57th Street
New York, New York**
(Address of principal executive offices)

10019
(Zip Code)

(Registrant's telephone number, including area code): (212) 515-3450

None
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common Stock, \$0.001 par value	MFIC	NASDAQ Global Select Market

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. ☐

Item 5.02. Departure of Director or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Agreements of Certain Officers.

Chief Financial Officer and Treasurer Retirement and Appointment

On June 11, 2025, Gregory Hunt, Chief Financial Officer and Treasurer of MidCap Financial Investment Corporation (the “**Company**”), notified the Board of Directors of the Company (the “**Board**”) of his intention to step down, effective as of the close of business on June 30, 2025. Mr. Hunt is expected to be retained by the Company as a consultant to assist with the transition of his responsibilities through the close of business on December 31, 2025, unless extended by mutual agreement of the Company and Mr. Hunt. The Company and the Board are grateful to Mr. Hunt for his service and look forward to his continued contributions through the term of his consultant arrangement.

Mr. Hunt’s decision to step down is not the result of any disagreement with the Company, its adviser or their affiliates regarding their operations, policies, practices or otherwise.

On June 11, 2025, the Board appointed Kenneth Seifert as Chief Financial Officer and Treasurer of the Company, effective as of the close of business on June 30, 2025.

Mr. Seifert (i) was not appointed as the Company’s Chief Financial Officer and Treasurer pursuant to any arrangement or understanding with any other person; (ii) does not have a family relationship with any of the Company’s directors or other executive officers; (iii) has not engaged, since the beginning of the Company’s last fiscal year, nor proposes to engage, in any transaction in which the Company was or is a participant; and (iv) has not entered into, nor expects to enter into, any material plan, contract, arrangement, grant or award in connection with his appointment as the Company’s Chief Financial Officer and Treasurer.

Mr. Seifert, 47, serves as the Chief Financial Officer and Treasurer of Apollo Diversified Credit Fund and Apollo Diversified Real Estate Fund since 2022. Mr. Seifert previously served as Chief Financial Officer and Treasurer of Apollo S3 Private Markets Fund from 2023 to 2024, Apollo Senior Floating Rate Fund Inc. from 2021 to 2024 and Apollo Tactical Income Fund Inc. from 2021 to 2024. He joined Apollo in June 2015 and has worked in Apollo’s Controllers group throughout his tenure. Prior to that time, Mr. Seifert was a Senior Manager at KPMG where he was an Auditor in the Alternative Investment practice, and before that, Mr. Seifert was a Senior Manager at Rothstein Kass where he was an Auditor in the Financial Services practice. In his positions, Mr. Seifert has specialized in alternative investments including Hedge, Private Equity, Fund of Funds, and Regulated Investment Companies. Mr. Seifert graduated from the Pennsylvania State University with a BS in Accounting and a minor in Business Law.

Director Resignation

On June 11, 2025, Howard Widra notified the Board of his intention to retire from his role at Apollo Global Management Inc. (“**Apollo**”), to pursue other opportunities, effective on or about the close of business on December 31, 2026. In connection with Mr. Widra’s retirement from Apollo, Mr. Widra informed the Board of his intention to resign as director and Executive Chairman of the Board, effective on or about the close of business on December 31, 2026. The Company has initiated a search to identify his successor.

Mr. Widra’s decision to resign is not the result of any disagreement with the Company, its adviser or their affiliates regarding their operations, policies, practices or otherwise.

The Company and the Board are grateful to Mr. Widra for his service and look forward to his continued contributions through the remainder of his tenure on the Board.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Company has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**MIDCAP FINANCIAL INVESTMENT
CORPORATION**

By: /s/ Kristin M. Hester

Name: Kristin M. Hester

Title: Chief Legal Officer, Vice President and Secretary

Date: June 13, 2025